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Shahan Islam

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3243/FBR

-----x  
In re Application of: Nicholas Luke BENNETT, et al.:

Serial No.: 09/934,912

Examiner: Unassigned

Filed: August 22, 2001

Group Art Unit: Unassigned

For: Gaming Machine with Multi-Dimensional Symbols

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Honorable Commissioner  
of Patents and Trademarks  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR 1.97 and 1.98, applicant respectfully requests that the documents listed on the attached form PTO-1449 be made of record and considered in connection with the examination of this application. Copies of the listed document is enclosed. Translations of the document (if applicable) are not readily available.

With the submission of this admitted prior art, applicants thus comply with the duty of disclosure as set forth at 37 CFR 1.56.

Respectfully submitted,

Shahan Islam

Registration No. 32,507

DATE: September 25, 2001  
ROSENMAN & COLIN LLP  
575 Madison Avenue  
New York, NY 10022-2585  
(212) 940-8564

Form PTO-1449

Docket No.: 3195/FBR

Serial No.: 09/934,912

LIST OF PRIOR ART  
CITED BY APPLICANT

Applicant: Nicholas Luke Bennett, et al.

Filing Date: August 22, 2001

## U.S. PATENT DOCUMENTS

Exam. Init.		Document Number	Date	NAME	Class	Subclass	Filing Date if appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	COUNTRY	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Examination Report, Patent Application No. 513431, Intellectual Property Office of New Zealand
	AS	Examination Report, Patent Application No. 509300, Intellectual Property Office of New Zealand

EXAMINER

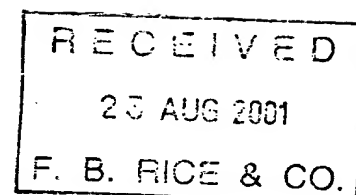
DATE CONSIDERED

14 August 2001

F B RICE & CO  
127 Queens Drive  
Lower Hutt

**Patent Application No:** 513431  
**In the Name of:** ARISTOCRAT TECHNOLOGIES AUSTRALIA PTY LTD  
**Your ref:** 106594

## Examination Report



Thank you for your application filed on 8 August 2001:

Examination has been performed on the specification as filed and your letter dated 6 August 2001.

A set of Formal Drawings should be filed within 4 months of the filing date of this application, under Regulation 169.

### Section 13:

As a result of investigation under Section 13, it appears the invention as claimed has been prior published in:

Citation	Date Available	Relevant part of Citation	Claim(s) cited against
US6213876B1	10 April 2001	See the whole document	At least Claim 1,2,3
US5964463	12 Oct 1999	See the whole document	At least Claim 1,2,3
GB2253300	02 Sept 1992	See the whole document	At least Claim 1 and 8

The Intellectual Property Office is a Business Unit of the Ministry of Economic Development

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Phone +64 4 560 1600 • Facsimile +64 4 568 0747

Claim 1 discloses a gaming machine characterized by polyhedral elements with a plurality of visible faces represented on moveable carriers.

In the prior document US6213876 a video outlay (Column 5, Line 34) with randomly generated polyhedral elements are represented in the form of dice in two rows as in one embodiment (Column 18, Line 18 and 19).

See Fig. 3 of prior document US5964463 for the same embodiment as prior document US6213876 of polyhedral elements that are represented in the form of dice in two rows.

Prior document GB2253300 discloses bodies that are movable relative to each reel as disclosed in Claim 8.

The time for completion of all matters expires on **8 November 2002**. An extension of time of up to three months may be requested under Section 19(2).

If you have any questions please contact me.

Yours sincerely

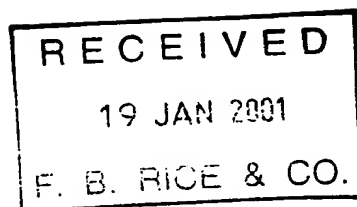
A handwritten signature in cursive script, appearing to read 'J. Nijdam'.

Jelle Nijdam  
for Commissioner of Patents, Trade Marks and Designs  
Direct Dial (04) 560 1655

Levin House, 330 High Street  
PO Box 30 687, Lower Hutt  
DX SX11129, Wellington  
New Zealand

Telephone: (04) 560 1600  
Facsimile: (04) 568 0747

15 January 2001



F B RICE & CO  
PO Box 117  
Balmain NSW 2041  
Australia

**Patent Application No:** 509300  
**In the Name of:** ARISTOCRAT TECHNOLOGIES AUSTRALIA PTY LTD  
**Your ref:** 103510

## Examination Report

Thank you for your application filed on 10 January 2001.

Examination has been performed on the specification as filed.

A set of Formal Drawings should be filed within 4 months of the filing date of this application, under Regulation 169.

### Section 10(4):

Claim 14: A suitable claim dependency appears necessary.

### Section 13:

As a result of investigation under Section 13, it appears the invention as claimed has been prior published in:

Citation	Date Available	Relevant part of Citation	Claim(s) cited against
US 5,263,715	7 Jan 1994	See the whole document	Claim 1
US 5,344,145	4 Oct 1994	See the whole document	Claim 1
JP4307087A2	15 Aug 1995	See the whole document	Claim 1

The invention claimed in Claim 1 also appears to encompass a standard fruit machine or one-armed bandit which is prior art. Both of these machines involve a polyhedral element which rotates to display pictures determining whether a player wins or loses. Claim 1 is therefore too broad.

The time for completion of all matters expires on 10 April 2002. An extension of time of up to three months may be requested under Section 19(2).

If you have any questions please contact me.

Yours sincerely

A handwritten signature in black ink, appearing to read 'J. Smedley', written in a cursive style.

John Smedley  
for Commissioner of Patents, Trade Marks and Designs  
Direct Dial (04) 560 1593